

# Tropical Geometry

## Tropical Geometry

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# A Brief Recap on Tropical Algebra

- ▶ Set of Tropical Numbers,  $\mathbb{T} := \mathbb{R} \cup \{\infty\}$
- ▶ Operations on  $\mathbb{T}$ 
  - ▶ Tropical Addition: " $x + y$ " :=  $\min\{x, y\}$
  - ▶ Tropical Multiplication: " $x \cdot y$ " :=  $x + y$
- ▶ With our usual conventions,  $(\infty)$  is our additive identity.
  - ▶  $\forall x \in \mathbb{T}, "x + (\infty)" = \min\{x, \infty\} = x$ , and
  - ▶  $\forall x \in \mathbb{T}, "x \cdot (\infty)" = x + (\infty) = (\infty)$
- ▶ So, we can see that  $(\mathbb{T}, "+", "\cdot")$  satisfies all the field axioms except the existence of tropical additive inverse. So,  $(\mathbb{T}, "+", "\cdot")$  forms a semi-field.
- ▶ Semi-rings vs semi-fields

# Tropical Polynomials: 1 Dimensional Case

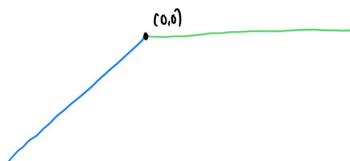
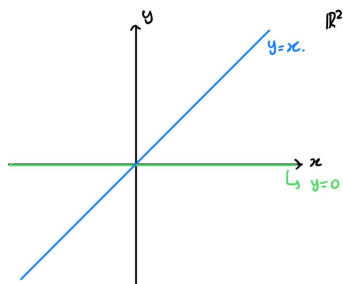
- ▶ A Tropical Polynomial  $P(x) = \min_{i=0}^d a_i x^i$  is viewed as

$$\min_{i=0}^d a_i x^i = \min_{i=1}^d \{a_i + ix\}$$

- ▶ So, a tropical polynomial is a convex piecewise affine function and each piece has as integer slope
- ▶ Roots of a tropical polynomial: All the points  $x_0 \in \mathbb{T}$  for which the graph of  $P(x)$  has a corner (bends) at  $x_0$ . This is equivalent to  $P(x_0)$  being equal to the value of at least 2 of its monomials evaluated at  $x_0$ .

## Example 1

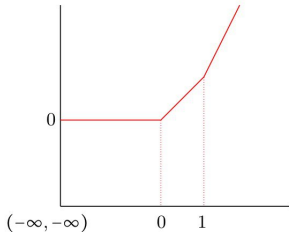
- Consider the function  $f = "0 + x" := \min\{0, x\}$



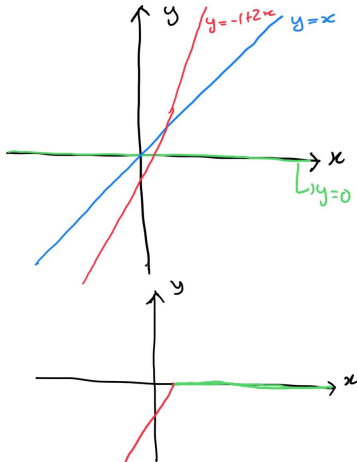
## Example 2

- Consider the equation

$$P(x) = "0 + x + (-1)x^2" := \min\{0, x, -1 + 2x\}$$



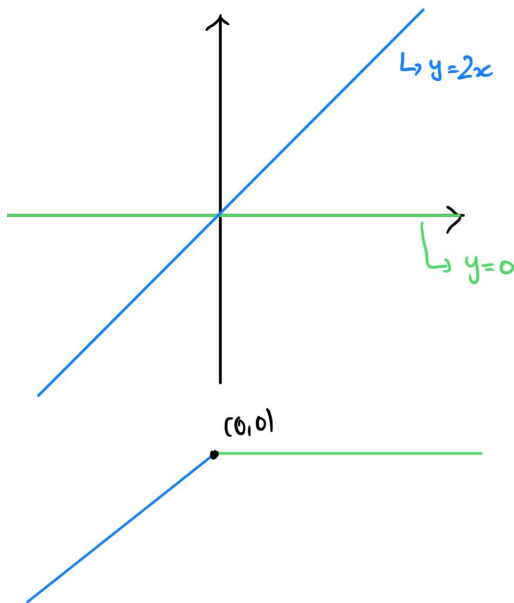
b)  $P(x) = "0 + x + (-1)x^2"$



- Max vs Min??

### Example 3

- Consider the function  $f = "0 + x^2"$



# Tropical Polynomial in 2 variables

- ▶ A Tropical Polynomial in 2 variables is
$$P(x, y) = \min_{(i,j) \in A} (a_{(i,j)} + ix + jy),$$
where  $A$  is a finite subset of  $(\mathbb{Z}_{\geq 0})^2$ .
- ▶ Thus, a tropical polynomial in 2 dimensions is a convex piecewise affine function
- ▶ The roots of the tropical polynomial is the corner locus of this function. That is to say,

$$\begin{aligned}\tilde{V}(P) = \{(x_0, y_0) \in \mathbb{R}^2 : (i, j) \neq (k, l), P(x_0, y_0) = a_{i,j}x_0^i y_0^j \\ = a_{k,l}x_0^k y_0^l\}\end{aligned}$$

- ▶ Said another way, a tropical curve  $C$  consists of all points  $(x_0, y_0) \in \mathbb{T}^2$  for which the minimum of  $P(x, y)$  is obtained at least twice at  $(x_0, y_0)$

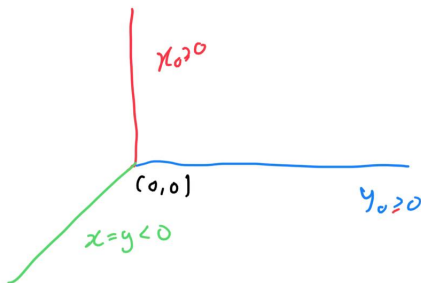
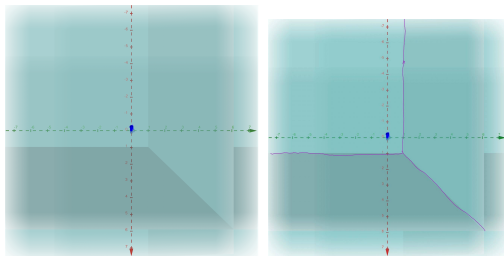
# Example 1

- ▶ Consider the equation  $f = "0 + x + y" := \min\{0, x, y\}$
- ▶ We must find points  $(x_0, y_0) \in \mathbb{R}^2$  that satisfy one of the following 3 conditions:
  - ▶  $x_0 = 0 \leq y_0$
  - ▶  $y_0 = 0 \leq x_0$
  - ▶  $x_0 = y_0 \leq 0$
- ▶ Then, the set  $\tilde{V}(P)$  is made of three standard half-lines:
  - ▶  $\{(0, y) \in \mathbb{R}^2 | y \geq 0\}$
  - ▶  $\{(x, 0) \in \mathbb{R}^2 | x \geq 0\}$
  - ▶  $\{(x, x) \in \mathbb{R}^2 | x \leq 0\}$
- ▶ Then, the set  $\tilde{V}(P)$  is a piecewise linear graph in  $\mathbb{R}^2$ .



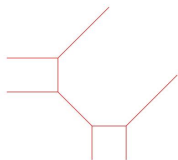
## Example Continued

- ▶ we can visualise this in  $\mathbb{R}^3$ .

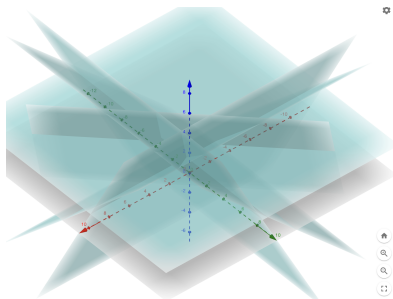


## Example 2

- ▶ Consider the equation  $f = "3 + 2x + 2y + 3xy + y^2 + x^2"$
- ▶ we can visualise this in  $\mathbb{R}^3$ .



b)  $"3 + 2x + 2y + 3xy + y^2 + x^2"$



# Generalisation of Functions to $N$ variables

- In order to write these functions in a more invariant way, we fix the following notation:

$$M = \mathbb{Z}^n, \quad M_{\mathbb{R}} = \mathbb{Z}^n \otimes \mathbb{R}, \quad N = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}^n, \mathbb{Z}), \quad \text{and} \quad N_{\mathbb{R}} = N \otimes \mathbb{R}$$

- Then, the following function

$$\begin{aligned} f : M_{\mathbb{R}} &\rightarrow \mathbb{R} \\ z &\mapsto f(z) = \sum_{n \in S} a_n z^n \end{aligned}$$

can be reexpressed as:

$$f(z) := \min\{a_n + \langle n, z \rangle \mid n \in S\},$$

where  $S$  is a finite subset of  $N$ .

## Example of Generalisation

- ▶ Consider the function  $f = "1 + (0 \cdot x) + (0 \cdot x^2) + (2 \cdot x^3)"$
- ▶ Then,

$$M = \mathbb{Z}, \quad M_{\mathbb{R}} = \mathbb{Z} \otimes \mathbb{R} \cong \mathbb{R}, \quad N = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}), \quad \text{and} \quad N_{\mathbb{R}} = N \otimes \mathbb{R}$$

- ▶ Note that  $N$  can be viewed as a 1-dimensional vector space spanned by the projection onto  $x$ -axis function,  $Pr_x$
- ▶ We denote the evaluation of  $n \in N$  on  $m \in M$  by  $\langle n, m \rangle$
- ▶ So, the function above can be viewed as:

$$f = \min\{1, 0 + x, 0 + 2x, 2 + 3x\}$$

or

$$f = \min\{1 + \langle 0Pr_x, x \rangle, 0 + \langle Pr_x, x \rangle, 0 + \langle 2Pr_x, x \rangle, 2 + \langle 3Pr_x, x \rangle\},$$

where  $S$  is the finite subset  $\{0, Pr_x, 2Pr_x, 3Pr_x\}$  of  $N = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$ .

# Polyhedron

- ▶ A polyhedron  $\sigma$  in  $M_{\mathbb{R}}$  is a finite intersection of closed half-spaces (A hyperplane divides its affine space into 2. Any of these 2 parts in the affine plane is called a half space.). A face of a polyhedron is a subset given by the intersection of  $\sigma$  with a hyperplane  $H$  such that  $\sigma$  is contained in a half-space with boundary  $H$ .
- ▶ The boundary of  $\delta(\sigma)$  of  $\sigma$  is the union of all proper faces of  $\sigma$ , and the interior  $Int(\sigma)$  is  $\sigma \setminus \delta(\sigma)$ .
- ▶ The polyhedron  $\sigma$  is a lattice polyhedron if its an intersection of half-spaces defined over  $\mathbb{Q}$  and all the vertices lie in  $M$ .
- ▶ A polytope is a compact polyhedron.

# Newton Polytope

- ▶ We now explain a simple way way to see what  $V(f)$ , the tropical hyper surface, looks like
- ▶ Given  $f = \sum_{n \in S} a_n z^n$ , we define the newton polytope of  $S$  to be:

$$\Delta(S) = \text{Conv}(S) \subset N_{\mathbb{R}}$$

that is to say, the convex hull of  $S$  in  $N_{\mathbb{R}}$

# Newton Polytope Continued

- ▶ The coefficients  $a_n$  then define a function

$$\psi : \Delta_S \rightarrow \mathbb{R}$$

as follows.

- ▶ We define the upper convex hull  $\tilde{S} = \{(n, a_n) | n \in S\} \subset N_{\mathbb{R}} \times \mathbb{R}$
- ▶ Namely,

$$\tilde{\Delta}_S = \{(n, a) \in N_{\mathbb{R}} \times \mathbb{R} \mid \text{there exists } (n, a') \in \text{Conv}(\tilde{S}) \text{ with } a \geq a'\}$$

- ▶ We then define

$$\psi(n) = \min\{a \in \mathbb{R} \mid (n, a) \in \tilde{\Delta}_S\}.$$

## Example of Convex Hull

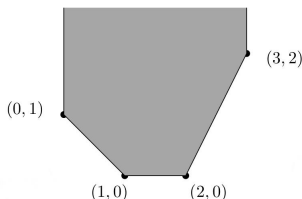
- ▶ Let's take a look at this function  
 $f = "1 + (0 \cdot x) + (0 \cdot x^2) + (2 \cdot x^3)"$  again
- ▶ we have established  $S$  is the finite subset  $\{0, Pr_x, 2Pr_x, 3Pr_x\}$  of  $N = Hom_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$
- ▶ So, the set  $S$  is a set of discrete points in the 1 dimensional vector space
- ▶ Thus, the convex hull of this discrete set is





## Example

- ▶ Recall, we define the upper convex hull as  
 $\tilde{S} = \{(n, a_n) | n \in S\} \subset N_{\mathbb{R}} \times \mathbb{R}$  and  
 $\tilde{\Delta}_S = \{(n, a) \in N_{\mathbb{R}} \times \mathbb{R} | \text{there exists } (n, a') \in \text{Conv}(\tilde{S}) \text{ with } a \geq a'\}$
- ▶ Since  $S = \{0, 1, 2, 3\}$  and the corresponding coefficients of this points are  $\{1, 0, 0, 2\}$ , our set  $\tilde{S} = \{(0, 1), (1, 0), (2, 0), (3, 2)\}$ , which lives in  $\mathbb{R}^2$
- ▶ Thus, our upper half convex plane,  $\tilde{\Delta}_S$ , looks like:



# Polyhedral Decomposition

- ▶ A (lattice) polyhedral decomposition of a lattice polyhedron  $\Delta \subset N_{\mathbb{R}}$  is a set  $\mathbb{P}$  of (lattice) polyhedra in  $N_{\mathbb{R}}$  called cells such that:
  - ▶  $\Delta = \bigcup_{\sigma \in \mathbb{P}} \sigma$
  - ▶ If  $\sigma \in \mathbb{P}$  and  $\tau \subset \sigma$  is a face, then  $\tau \in \mathbb{P}$
  - ▶ If  $\sigma_1, \sigma_2 \in \mathbb{P}$ , then  $\sigma_1 \cap \sigma_2$  is a face of both  $\sigma_1$  and  $\sigma_2$ .
- ▶ For a polyhedral decomposition  $\mathbb{P}$  of  $\Delta_S$ , denote by  $\mathbb{P}_{max}$  the subset of maximal cells of  $\mathbb{P}$ .
- ▶ To get a polyhedral decomposition of  $\mathbb{P}$  of  $\Delta_S$ , we just take  $\mathbb{P}$  to be the set of images under the projection  $N_{\mathbb{R}} \times \mathbb{R} \rightarrow N_{\mathbb{R}}$  of proper faces of  $\tilde{\Delta}_S$ . A  $\mathbb{P}$  of  $\Delta_S$  obtained in this way from the graph of a convex piecewise linear function is called a regular decomposition, and these decompositions play an important role in the combinatorics of convex polyhedra.

# Definition of Discrete Legendre Transform

- ▶ The Discrete Legendre Transform of  $(\Delta_S, \mathbb{P}, \psi)$  is the triple  $(M_{\mathbb{R}}, \tilde{\mathbb{P}}, \tilde{\psi})$  where:

$$\tilde{\mathbb{P}} = \{\tilde{\tau} : \tau \in \mathbb{P}\}$$

with

$$\tilde{\tau} = \{m \in M_{\mathbb{R}} \mid a \in \mathbb{R} \text{ such that } \langle -m, n \rangle + a \leq \psi(n) \forall n \in \Delta_S, \\ \text{with equality for } n \in \tau\},$$

and

$$\tilde{\psi}(m) = \max\{a \mid \langle -m, n \rangle + a \leq \psi(n) \forall n \in \Delta_S\}.$$

Thank You for Your Attention!